

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Masataka INNAN, et al.

Application No.:

Filed:

For: Storage System, and Method for
Controlling the Same

Examiner:

Art Unit:

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97 and §1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Robert C. Colwell
Reg. No. 27,431

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 650-326-2400
Fax: 650-326-2422
RCC:mks
60038139 v1

| | | | |
|--|---|--------------------------|-----------------|
| Substitute for form 1449A/PTO | | <i>Complete if Known</i> | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | Application Number | |
| (use as many sheets as necessary) | | Filing Date | |
| Sheet | 1 | of | 1 |
| | | Examiner Name | |
| | | Art Unit | Innan, Masataka |
| | | Attorney Docket Number | 16869K-093800US |

| U.S. PATENT DOCUMENTS+ | | | | | |
|-------------------------------|--------------------------|--|--------------------------------|--|---|
| | | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| Examiner Initials* | Cite No. ¹ | Number Kind Code ² (if known) | | | |
| | 0001 | US- | | | |
| | 0002 | US- | | | |
| | 0003 | US- | | | |
| | 0004 | US- | | | |
| | 0005 | US- | | | |
| | 0006 | US- | | | |
| | 0007 | US- | | | |
| | 0008 | US- | | | |
| | 0009 | US- | | | |
| | 0010 | US- | | | |
| | 0011 | US- | | | |
| | 0012 | US- | | | |
| | 0013 | US- | | | |
| | 0014 | US- | | | |
| | 0015 | US- | | | |
| | 0016 | US- | | | |
| | 0017 | US- | | | |
| | 0018 | US- | | | |
| | 0019 | US- | | | |
| | 0020 | US- | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|---------------------------------|--------------------------|------------------------------|---------------------|--------------------------------|--|--|-------------------------------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ | Number ⁴ | | | | |
| | 0021 | JP | 11-065980 | 03-09-1999 | Hitachi, Ltd. | | <input checked="" type="checkbox"/> |
| | 0022 | | | | | | <input type="checkbox"/> |
| | 0023 | | | | | | <input type="checkbox"/> |
| | 0024 | | | | | | <input type="checkbox"/> |
| | 0025 | | | | | | <input type="checkbox"/> |
| | 0026 | | | | | | <input type="checkbox"/> |
| | 0027 | | | | | | <input type="checkbox"/> |
| | 0028 | | | | | | <input type="checkbox"/> |

| | |
|-----------------------|--------------------|
| Examiner Signature | Date Considered |
|-----------------------|--------------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.